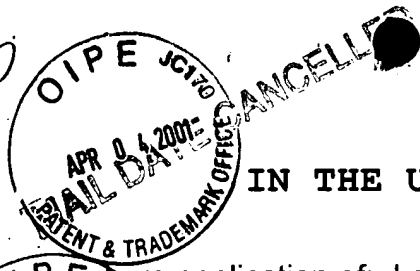
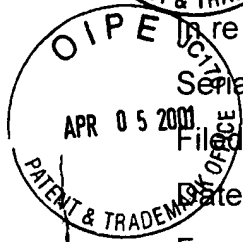


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Le Pennec et al.

Docket No.: FR919990115US1

Serial No.: 09/753,773

Group Art Unit: 2131

Filed: January 2, 2001

Examiner:

Date: April 3, 2001

For: METHOD AND SYSTEM FOR CONTROLLING AND FILTERING FILES USING
A VIRUS-FREE CERTIFICATE

To: Assistant Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Deposit Account Authorization Form;
3. Declaration and Power of Attorney Form;
4. Assignment and Recordation Form Cover Sheet; and
5. Notice to File Missing Parts of Application (return copy).

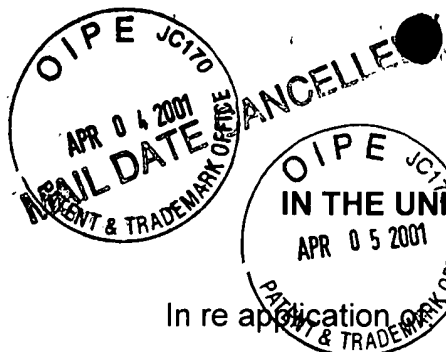
is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, DC 20231**

Barbara A. Miuccio
(Print name of person certifying)

Barbara A. Miuccio
(Signature of person certifying)

#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Le Pennec et al.

Docket No.: FR919990115US1

Serial No.: 09/753,773

Group Art Unit: 2131

Filed: January 2, 2001

Examiner:

Date: April 3, 2001

For: METHOD AND SYSTEM FOR CONTROLLING AND FILTERING FILES USING
A VIRUS-FREE CERTIFICATE

To: Assistant Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Application dated February 28, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

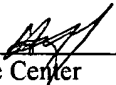
Respectfully submitted,

Louis P. Herzberg

Reg. No.: 41,500

Tel. No.: (914) 945-2885

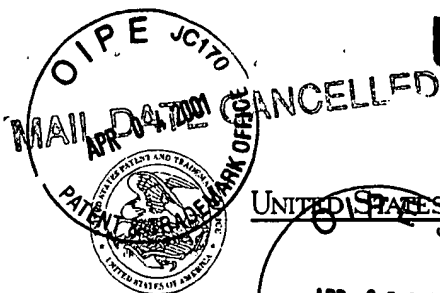
IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598



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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-------------------------|------------------------|
| 09/753,112 | 01/02/2001 | Jean-Francois Le Pennec | FR919990115US1 |

CONFIRMATION NO. 1994

FORMALITIES LETTER


 IBM CORPORATION
 INTELLECTUAL PROPERTY LAW DEPT.
 P.O. BOX 218
 YORKTOWN HEIGHTS, NY 10598

Date Mailed: 02/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$270.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 400.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

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*A copy of this notice **MUST** be returned with the reply.*

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01 FC:105